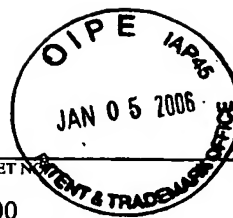


Form PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. 026532-0103		SERIAL NO. <u>10/623,134</u> Unknown		
INFORMATION DISCLOSURE CITATION <i>(Use several sheets if necessary)</i>				APPLICANT Richard L. Sandoz, et al				
				FILING DATE July 17, 2003		GROUP ART UNIT Unknown <u>3628</u>		
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE	
/DPV/		6,112,188	8/29/00	Hartnett				
FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
/DPV/		WO 02/37433A2	5/10/02	PCT				
/DPV/		WO 02/086657A2	10/31/02	PCT				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
/DPV/		R. Sandoz, "SO2 Market Exceeds Expectations," Environmental Finance, p. 11, May 2000.						
↓		L. Kiesling, "CO2 Emissions Trading, The Coase Theorem, and Creating New Markets," The Reason Foundation, October 23, 2002.						
		"The Chicago Climate Exchange: Trading Hot Air," The Economist edition, October 17, 2002.						
		"Chicago Climate Exchange: Rothschild to Advise CCX on Equity Offering," The Financial Times, November 4, 2002.						
EXAMINER		/Daniel Vetter/			DATE CONSIDERED			06/11/2007
• EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.								



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APPLICANT: Richard L. SANDOR		
Sheet 1 of 2	FILING DATE: July 18, 2003	GROUP: 3628 3628

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*EXAMINE R INITIAL	CITE NO.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/DPV/	A1	4,677,552	06/1987	Sibley, Jr.	364	408	
	A2	5,532,928	07/1996	Stanczyk et al.	364	406	
	A3	5,621,654	04/1997	Cohen et al.	364	493	
	A4	5,664,112	09/1997	Sturgeon et al.	705	28	
	A5	5,726,884	03/1998	Sturgeon et al.	395	209	
	A6	5,794,212	08/1998	Mistr, Jr.	705	26	
	A7	6,067,549	05/2000	Smalley et al.	707	104	
	A8	6,115,698	09/2000	Tuck et al.	705	37	
	A9	6,256,640	07/2001	Smalley et al.	707	104	
	A10	6,560,580	05/2003	Fraser et al.	705	37	
	A11	2001/0032168	10/2001	Adamson et al.	705	37	01/2001
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	A13	2002/0143693	10/2002	Soestbergen et al.	705	37	11/2001
	A14	2003/0055665	03/2003	Fleming	705	1	04/2002
	A15	2003/0085179	05/2003	Kim et al.	210	739	11/2001
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	A18	2004/0039684	02/2004	Sandor	705	37	07//2003
✓	A19	2005/0246190	11/2005	Sandor et al.	705	1	01//2005

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
/DPV/	B1	WO 02/37776	05/2002	PCT				
/DPV/	B2	WO 02/07776	10/2002	PCT				
/DPV/	B3	WO 04/010366	01/2004	PCT				

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		APPLICANT: Richard L. SANDOR	
Sheet 2 of 2		FILING DATE: July 18, 2003	GROUP: 3629
OTHER REFERENCES <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
/DPV/	C1	Sandor, R., "Trading Gases," Our Planet Magazine, The United Nations Environment Programme Magazine for Environmentally Sustainable Development, Volume 9, Number 6, 1998.	
↓	C2	Sandor, R., "Corporate Giants To Aid Design of U.S. Carbon Market," Environmental Finance Magazine, June 2001.	
↓	C3	Solomon, B., "Emissions Trade Systems and Environmental Justice," Environment; Washington, 42:8, October 2000, pp. 32-45.	
↓	C4	"Designing Options for Implementing an Emissions Trading Regime for Greenhouse Gases in The European Community," Final Report, February 22, 2000.	
EXAMINER /Daniel Vetter/		DATE CONSIDERED 06/11/2007	
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